



December 31, 2003

Docket No.: 149

Mail Stop Patent Application **Commissioner For Patents** P.O. Box 1450 Alexandria, Virginia 22313-1450

Enclosed herewith for filing is a patent application, as follows:

Inventor(s): Nielsen, James T.

Hausen, Bernard A.

Bombard, David L.

Title: **Surgical Apparatus and Method for Anastomosis**

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page(s) Specification (not including claims)

page(s) Claims page Abstract

Sheet(s) of Drawings

Form PTO-1449 and Information Disclosure Statement letter

page(s) declaration and power of attorney

page(s) assignment, and Recordation Form Cover Sheet

Check no. 8959 in the amount of \$506.00

Nonpublication Request

Applicant(s) assert(s) entitlement to small entity status for the attached

patent application.

This application is a continuation-in-part of U.S. Patent Application Serial No. 10/720,618, which in turn is a continuation-in-part of U.S. Patent Application Serial No. 10/607,524, which in turn is a continuation-in-part of U.S. Patent Application Serial No. 10/392,336, which in turn is a continuation-in-part of U.S. Patent Application Serial No. 10/151,441, which in turn is a continuation-in-part of U.S. Pat. No. 6,391,038.

CLAIMS AS FILED (fees computed under 37 CFR §1.9(f))

	Number Filed			Number Extra					Basic Fee
For Total Claims	29	-20	=	9	x	Rate \$9.00	=	\$ \$	385.00 81.00
Independent Claims	3	-3	=	0	х	\$43	=	\$	0.00
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Fee for re	cording ass	ignme	ent					\$	40.00
☐ Total fee for filing the patent application							\$	506.00	
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Respectfully submitted,

Brian A. Schar Attorney for Applicant(s) Reg. No. 45,076 Cardica, Inc 900 Saginaw Drive Redwood City, CA 94063 (650) 331-7162

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

Inventors Nielsen, James T.; et. al.

Title Surgical Apparatus and Method for Anastomosis

Atty Docket Number 149

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

December 31, 2003 Date

Brian A. Schar Attorney for Applicants Reg. No.: 45,076

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing.**

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**